

PTO/SB/21 (08-00)

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Application Number 09/695,820 TRANSMITTAL Oct 24, 2000 **Filing Date** FORM **First Named Inventor** Tabbara Group Art Unit (to be used for all correspondence after initial filing) 2144 TRAN, LAMBERT L **Examiner Name** Attorney Docket Number MS1-548US Total Number of Pages in This Submission **ENCLOSURES** (check all that apply) After Allowance Communication Assignment Papers Fee Transmittal Form (for an Application) Appeal Communication to Board Fee Attached Sheets Drawing(s) of Appeals and Interferences Licensing-related Papers Appeal Communication to Group Amendment / Reply (Appeal Notice, Brief, Reply Brief) Petition After Final Proprietary Information Petition to Convert to a Affidavits/declaration(s) **Provisional Application** Status Letter Power of Attorney, Revocation Change of Correspondence Address Other Enclosure(s) (please Extension of Time Request identify below): Terminal Disclaimer PTO-1449; reference copies **Express Abandonment Request** (3); return receipt postcard Request for Refund Information Disclosure Statement CD, Number of CD(s) Certified Copy of Priority Document(s) Remarks MAY 1 1 2004 Response to Missing Parts/ Incomplete Application Technology Center 2 00 Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Allan T. Sponseller, Reg. No. 38,318 Individual name Signature Date CERTIFICATE OF EXPRESS MAILING I hereby certify that the items listed above as enclosed are being deposited with the U.S. Postal Service as Express Mail, in an envelope addressed to The Commissioner of Patents , Alexandria, VA 22313 on the below-indicated date. The Express Mail No. has also been marked on the listed items. Express Mail No. Julianne Gehlen Typed or printed name Signature Date

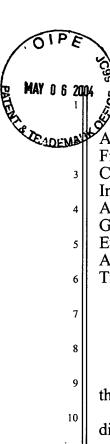
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Effective 10/01/2003. Patent fees are subject to annual revision		First	Named	Invent		obara				┨
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Name (Print/Type) Allan T. Sponseller		Registra	tion No.	38,3	318			9) 324-925	6	ᆌ

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Signature

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



LEE & HAYES, PLLC

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Serial No	
Filing Date	Oct 24, 2000
Confirmation No.	
Inventor	
Applicant	
Group Art Unit	
Examiner	
Attorney's Docket No	
Title: System and Method for Restricting Data Tr	ransfers and Managing Software
Components of Distributed Computers	

INFORMATION DISCLOSURE STATEMENT

The citations listed, copies attached, may be material to the examination of the subject application and are therefore submitted in compliance with the duty of disclosure defined in 37 CFR §1.56. The Examiner is requested to make these citations of official record in this application.

Respectfully Submitted,

RECEIVEL

MAY 1 1 2004

Date: _5/6/04

7: Technology Center 2100
Allan T. Sponseller

Reg. No. 38,318

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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of

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Application Number	09/695,820	
Filing Date	Oct 24, 2000	
First Named Inventor	Tabbara	
Group Art Unit	2144	
Examiner Name	TRAN, LAMBERT L	
Attorney Docket Number	MS1-548US	

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¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449B/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 2 of 2

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Application Number	09/695,820	
Filing Date	Oct 24, 2000	
First Named Inventor	Tabbara	
Group Art Unit	2144	
Examiner Name	TRAN, LAMBERT L	
Attorney Docket Number	MS1-548US	

	7	NON PATENT LITERATURE DOCUMENTS	_
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
		SMITH, R. et al., "Distributed Management of Future Global Multi-Service Networks," British Telecommunications Engineering, London, GB, vol. 13, Part 3, 1 October 1994, pp. 221-226.	
<u> </u>		SOMERS, F., Ed Institute of Electrical and Electronics Engineers: "HYBRID: Unifying Centralised and Distributed Network Management using Intelligent Agents," 1996 IEEE Network Operations and Management Symposium, New York, vol. 1 Symp. 5, 15 April 1996, pp. 34-43.	
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